

#9

Attorney Docket No.:  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney Docket No.: 36849/110

Applicant: Paul JACKSON *et al.*

Appl. No.: To be assigned

Filing Date: Concurrently herewith

Examiner: To be assigned

Art Unit: To be assigned

Title: DIPEPTIDYL PEPTIDASE IV INHIBITORS AND METHODS OF  
MAKING AND USING DIPEPTIDYL PEPTIDASE IV INHIBITORS



**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants submit herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/439,089, filed November 12, 1999. The relevance of these prior art documents is explained in the parent application.

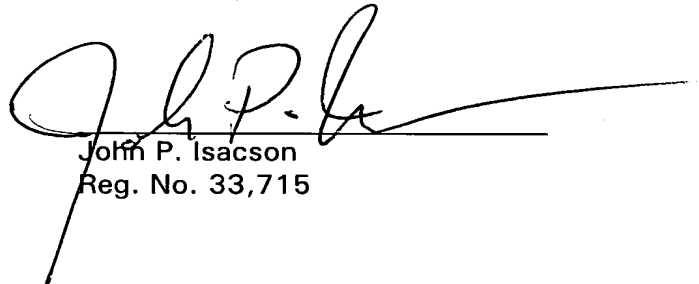
As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/439,089 filed November 12, 1999.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

November 13, 2000  
Date

FOLEY & LARDNER  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007  
Phone: (202) 672-5300  
Fax: (202) 672-5399

  
John P. Isacson  
Reg. No. 33,715

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY DEFICIENCY OR  
CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 19-0741.

Sheet 1 of 2				FORM PTO 1449 (modified)		ATTY DOCKET NO. 36849/110		SERIAL NO. To be assigned		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Paul JACKSON <i>et al.</i>						
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE Concurrently herewith			GROUP To be assigned			
U.S. PATENT DOCUMENTS										
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	A01	5,874,449	2/23/99	HAMILTON ET AL.	514	330				
	A02	5,543,396	8/6/96	POWERS ET AL.	514	19				
	A03	5,804,602	9/8/98	SLUSHER ET AL.	514	574				
FOREIGN PATENT DOCUMENTS										
	DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO				
	A04	WO94/03055	2/17/94	WIPO						
	A05	WO95/11689	5/4/95	WIPO						
	A06	WO95/34538	12/21/95	WIPO						
	A07	WO97/40832	11/6/97	WIPO						
	A08	WO98/19998	5/14/98	WIPO						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)										
	A09	AUGUSTYNS ET AL.	CURRENT MEDICINAL CHEMISTRY 6: 311-327 (1999)							
	A10	BARNES ET AL.	EUROPEAN JOURNAL OF NEUROSCIENCE 6: 531-537 (1994)							
	A11	BELYAEV ET AL.	STRUCTURE-ACTIVITY RELATIONSHIP OF DIARYL PHOSPHATE ESTERS AS POTENT IRREVERSIBLE DIPEPTIDYL PEPTIDASE IV INHIBITORS (DIALOG ABSTRACT 11965656/6)							
	A12	BELYAEV ET AL.	J. MED. CHEM. 42: 1041-1052 (1999)							
	A13	BOGENRIEDER ET AL.	THE PROSTATE 33: 225-232 (1997)							
	A14	DE BOER ET AL.	DRUG TRANSPORT ACROSS THE BLOOD-BRAIN BARRIER, HARWOOD ACADEMIC PUBLISHERS, PGS. 59-67							
	A15	GLAESKE ET AL.	ORGANIC LETTERS 1(1): 31-33 (1999)							
	A16	LIN ET AL.	PROC. NATL. ACAD. SCI. USA 95: 14020-14024 (1998)							
	A17	MENTZEL ET AL.	JOURNAL OF HISTOCHEMISTRY AND CYTOCHEMISTRY 44(5): 445-461 (1996)							
	A18	NGUYEN ET AL.	J. MED. CHEM. 41: 2100-2110 (1998)							
	A19	OLEKSYSZYN ET AL.	INTL. JOURNAL OF METHOD IN SYNTHETIC ORGANIC CHEMISTRY, PGS. 479-480 (1978)							
	A20	PANGALOS ET AL.	J. BIOL. CHEM. 274(13): 8470-8483 (1999)							
	A21	REINHOLD ET AL.	IMMUNOLOGY 91: 354-360 (1997)							
	A22	SHVALOFF ET AL.	LINES OF THERAPEUTICS RESEARCH IN ALZHEIMERS-DISEASE (DIALOG ABSTRACT 05335738/5)							
EXAMINER				DATE CONSIDERED						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SERIAL NO.  
To be assigned

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**APPLICANT**  
Paul JACKSON *et al.*

**LIST OF REFERENCES CITED BY APPLICANT(S)**  
(Use several sheets if necessary)

**FILING DATE**  
Concurrently herewith

**GROUP**  
To be assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

**EXAMINER**

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.